



**NEW YORK**  
STATE OF  
OPPORTUNITY. | Department of  
Transportation

# **NYSDOT UPDATES**

October 2021

Marc Berger, Director  
Passenger Carrier Safety Bureau


1

1

2

## Topics

- **COVID-19 Installations**
- **“A” Defects**
- **Operator Profiles**
- **Accident Reports**
- **Stop-Arm Cameras**
- **Electric Buses**
- **Manpower Issues**



**NEW YORK**  
STATE OF  
OPPORTUNITY. | Department of  
Transportation

2

## COVID-19 Installations

- Installations may remain for now
- Emerging variants remain a concern
- Concerns for operators removing installations then having to re-install
- Will re-assess as the school year progresses
- Allowance of reasonable time frame for removal

## “A” Defects

- NYSDOT has re-evaluated “A” defect policy
- “A” defects will be divided into two categories
  - Highway Prohibited (HP)
  - Non-Highway Prohibited
- Critical safety components will remain Highway Prohibited
- NYSDOT in process of modifying software to allow for implementation
- Effective 10/1/21

## Operator Profiles

- Operator profiles in BUSNET have been simplified into an easy-to-read format
- Important for transportation supervisors to review
- Serves as an important “PM” document
- Allows operator to focus energies on areas identified as “habitual offenders”

## Operator Profiles

- SFY 20-21
  - Preferred – 1438 operators – 64%
  - Acceptable – 155 operators – 7%
  - Unacceptable – 41 operators – 2%
  - Unrated – 621 – 28%
  - Overall OOS rate 3.3%
    - Lowest it has ever been, due to shutdowns caused by Covid-19
- Old Profile/Mock Profile

## Accident Reports

- NYSDOT revamped accident notification procedures in October 2020
- Streamlined reporting of accidents
  - One form
  - One destination
- Reports may be called in, faxed or emailed
- What is reportable?
- Accident reporting letter/form

## Stop-Arm Cameras

- New York has adopted legislation that authorizes cameras
- School Bus Camera Safety Act of 2019
- DOT has discovered, during regular inspections, major issues with installations.
  - Holes in floors, unsecured wiring, no wiring protection, etc
  - Although installation is not specifically under our purview, DOT has responsibility to ensure installations do not conflict with regulations.
  - Prudent to reach out to your inspection supervisor prior to installation to ensure compliance.

## Electric Buses


- Electric buses are making their way into fleets
- DOT repealed its electric vehicle regulations several years ago
- Regulations were 20 years old and obsolete when compared to current standards
- DOT currently following federal standards
- Inspectors will receive training in the near future

## Manpower Issues

- COVID-19 had a major impact on DOT workforce
- Many retirements took place ahead of schedule
- Ability to hire severely hampered
- Continual recruitment for new inspectors
- We are still meeting our responsibility

11

# QUESTIONS???



NEW YORK  
STATE OF  
OPPORTUNITY | Department of  
Transportation



October 1, 2020

**To All Motor Carriers of Passengers:**

**Re: Changes in Accident Reporting**

Pursuant to 17NYCRR Part 722.1, any carrier subject to New York State Department of Transportation (NYSDOT) inspection jurisdiction must immediately report to the Department any incident that meets the definition of a reportable accident.

The following outlines changes being implemented to the form and manner in which these accidents are to be reported:

- Any accident being reported via telephone must now be made to the Department's Statewide Transportation Information & Coordination Center (STICC) at (518) 457-3765. Calls are no longer to be made to Regional Traffic Management Centers (TMC's).
- The Department has approved a revised reporting form which is to be utilized in all cases for those who choose to report an accident in written format. A copy of this form is included herein. Completed forms are to be sent to the Department via e-mail at [sticc@dot.ny.gov](mailto:sticc@dot.ny.gov) or via fax at (518) 485-9318. Please discard and cease usage of any other reporting forms used previously.

These changes will take effect on 10/15/20. You may contact the Department with any questions at [passengersafety@dot.ny.gov](mailto:passengersafety@dot.ny.gov).

NEW YORK STATE  
DEPARTMENT OF TRANSPORTATION  
ACCIDENT/INCIDENT NOTIFICATION DATA

PLEASE PRINT INFORMATION CLEARLY

- 1) Operator/Company Name and Location: \_\_\_\_\_
- 2) Vehicle Number: \_\_\_\_\_
- 3) Accident/Incident Date and Time: Date: \_\_\_\_\_ Time: \_\_\_\_\_
- 4) Driver's name and date of birth (as on license): \_\_\_\_\_
- 5) Driver's License Number (DMV ID Number): \_\_\_\_\_
- 6) Accident/Incident Location (City, Town, Village): \_\_\_\_\_
- 7) Accident/Incident Location (Street Names): \_\_\_\_\_
- 8) Number of injuries on your vehicle: \_\_\_\_\_ Other Vehicle: \_\_\_\_\_ Pedestrians \_\_\_\_\_
- 9) Number of fatalities on your vehicle: \_\_\_\_\_ Other Vehicle: \_\_\_\_\_ Pedestrians \_\_\_\_\_
- 10) Did the driver claim a mechanical failure? Yes  No
- 11) Was there any media present at the scene? Yes  No
- 12) If any, who is the investigation police agency: \_\_\_\_\_
- 13) Name of person reporting: \_\_\_\_\_ Phone Number: \_\_\_\_\_
- 14) Date and time of this report: Date: \_\_\_\_\_ Time: \_\_\_\_\_
- 15) Brief description of what occurred:  
\_\_\_\_\_  
\_\_\_\_\_

Please complete this form and call (518) 457-3765. Tell the DOT representative you are reporting an accident or incident and then fax this form to them at (518) 485-9318.



**BUS INSPECTION SYSTEM  
OPERATOR PROFILE**

Profile Period: **04/01/2020 to 03/31/2021**

Operator: 75903

July 08, 2021

**MARC'S BUS**

111 PLEASANT ROAD

OUR TOWN, NY 12345

Operator Category Status: Unacceptable - B&C Privileges Denied

**OUT OF SERVICE RATE FOR THE PROFILE PERIOD ABOVE IS:**

**30.95%**

(This rate reflects the results from full and critical item inspections for this operator only. Failed inspections occur when at least one "A" defect is identified)

42

**INSPECTION PERFORMANCE**

**Inspection Summary of Full and Critical Item Inspections:**

**Inspections:**

**Passed (With No "A" Defects):**

**Failed (With At Least 1 "A" Defect):**

**Total**

**Percent**

29

13

69.05%

30.95%

Operator: 75903

July 08, 2021

**MARC'S BUS**

111 PLEASANT ROAD

OUR TOWN, NY 12345

Operator Category Status: Unacceptable - B&C Privileges Denied

**DETAIL SUMMARY**

**Inspections**

Full Inspection  
Critical Above  
Critical Below  
Re-Inspection  
Post Accident  
Fleet  
Random  
New in Service  
Distributor

**Total**

42  
0  
0  
13  
0  
0  
0  
0  
0

Special

0

**Non-Inspection Transactions**

**Total**

Non Present  
Certificate Replacement  
Perm OOS  
Temp OOS

0  
0  
24  
2

Transfer  
Misc.

0  
0

Operator: 75903

July 08, 2021

**MARC'S BUS**

111 PLEASANT ROAD

OUR TOWN, NY 12345

Operator Category Status: Unacceptable - B&C Privileges Denied

**DEFECT SUMMARY (Full or Critical Inspections Only)**

**Item**  
**Total**  
**"A" DEFECTS**

11.01-1-A	2
---HIGHWAY OPERATION PROHIBITED--- ENTRANCE DOOR:1. CONTROL MUST OPERATE FREELY AND HOLD DOOR OR DOORS IN FULLY CLOSED POSITION.	
16.03-1-A	1
---HIGHWAY OPERATION PROHIBITED--- BRAKE WARNING LIGHT/BUZZER:ANY REQUIRED BRAKE WARNING LIGHT/BUZZER MISSING; DEFECTIVE OR INOPERATIVE	
20.00-1-A	1
---HIGHWAY OPERATION PROHIBITED--- EMERGENCY DOOR(S):1. MISSING OR DOES NOT OPEN OR CLOSE FREELY OR COMPLETELY; WHEN REQUIRED;	
22.03-1-A	1
---HIGHWAY OPERATION PROHIBITED--- GLASS:1. NOT OF APPROVED TYPE	
34.04-1A-A	1
---HIGHWAY OPERATION PROHIBITED--- TIE-ROD ENDS & DRAG LINK:1. LOOSENESS: A) ANY MOTION; OTHER THAN ROTATIONAL; GREATER THAN ONE EIGHTH INCH; THAT CAN BE DETECTED BY MOVEMENT WITH TWO HANDS WITH MODERATE STRENGTH; IN ANY CONNECTING JOINT.	
38.04-1-A	2
---HIGHWAY OPERATION PROHIBITED--- FRONT AIR SUSPENSION:1. DEFLATED AIR SUSPENSION; I.E. SYSTEM FAILURE; LEAK; IMPROPERLY ADJUSTED OR DEFECTIVE LEVELING VALVE; ETC.	
39.03-1-A	1
---HIGHWAY OPERATION PROHIBITED--- WHEEL CYLINDERS/CALIPERS:1. FROZEN OR LEAKING	
39.05-2-A	2
---HIGHWAY OPERATION PROHIBITED--- DRUMS/ROTORS:2. IF DRUMS OR ROTORS ARE AT OR EXCEEDS THE MANUFACTURERS DISCARD MEASUREMENT	
40.00-4-A	1
---HIGHWAY OPERATION PROHIBITED--- AIR BRAKES:4. ANY TEST FAILURE OF THE AIR RESERVOIR SYSTEM TO INCLUDE MOUNTING AND RESERVOIR CONDITION	
40.01-1-A	2
---HIGHWAY OPERATION PROHIBITED--- BRAKE SYSTEM VALVE(S)/TANKS:ANY BRAKE SYSTEM VALVE(S) OR ATTACHED CONNECTION(S) MOUNTED IMPROPERLY; LEAKING OR FOUND TO BE DEFECTIVE THROUGH AIR BRAKE SYSTEM TEST PROCEDURES (APPLICATION; RELAY; RELEASE; INVERSION; LIMITING; ETC.)	
43.01-1-A	1
---HIGHWAY OPERATION PROHIBITED--- DRIVESHAFT UNIVERSAL JOINTS:1. ANY UNIVERSAL JOINT OR END CAP WHICH IS CRACKED; SPLIT; MISSING WORN THROUGH OR EXCESSIVE PLAY	
44.05-1-A	1
---HIGHWAY OPERATION PROHIBITED--- REAR AIR SUSPENSION:1. DEFLATED AIR SUSPENSION; I.E. SYSTEM FAILURE; LEAK; IMPROPERLY ADJUSTED OR DEFECTIVE LEVELING VALVE; ETC.	
45.01-1-A	1
---HIGHWAY OPERATION PROHIBITED--- CHASIS FRAME/UNI-BODY:1. ANY CRACKED; LOOSE; SAGGING OR BROKEN FRAME MEMBER	
47.01-2-A	2
---HIGHWAY OPERATION PROHIBITED--- ENGINE OPERATION:2. ENGINE IS NOT IN PROPER RUNNING CONDITION	
47.02-2-A	1
---HIGHWAY OPERATION PROHIBITED--- ENGINE MOUNTS:2. ANY DEFECTIVE SUPPORT THAT WOULD RESULT IN ENGINE SHIFTING	
48.03-1-A	1
---HIGHWAY OPERATION PROHIBITED--- BATTERY & CABLES:1. BATTERY NOT PROPERLY SECURED	
52.03-1-A	1
---HIGHWAY OPERATION PROHIBITED--- INTERLOCK SYSTEM OPERATION:FAILS TO ACTIVATE WHEN DEVICE IS ACTUATED	
52.05-1-A	1

---HIGHWAY OPERATION PROHIBITED--- SERVICE BRAKE STOPPING DISTANCE:FAILS TO MEET THE REQUIRED RATE OF DECELERATION AS OUTLINED IN NYCRR 720.4(Z)(1)(B)

1

52.06-1-A  
---HIGHWAY OPERATION PROHIBITED--- EMERGENCY OR PARKING BRAKE STOPPING DISTANCE (GVWR GREATER THAN 7,716 LBS.):FAILS TO MEET THE REQUIRED RATE OF DECELERATION AS OUTLINED IN NYCRR 720.4(Z)(1)

**"B" DEFECTS**

1

19.01-1-B  
SEAT FRAMES:1. BROKEN OR LOOSE

Operator: 75903

July 08, 2021

**MARC'S BUS**

111 PLEASANT ROAD

OUR TOWN, NY 12345

Operator Category Status: Unacceptable - B&C Privileges Denied

41.03-1-B

TRANSMISSION OPERATION:1. DEFECTIVE START NEUTRAL SAFETY SWITCH

1

46.00-3-B

FUEL TANK:3. FUEL CAP MISSING

1

**"C" DEFECTS**

16.04-1-C

ILLUMINATION:SPEEDOMETER OR BRAKE SYSTEM GAUGE (AIR/VAC) NOT ILLUMINATED

1

**Maintenance Records**

52.09-1-N

BRAKE TEST NOT PERFORMED:DUE TO UNSAFE VEHICLE CONDITION

4

53.00-1-N

MAINTENANCE & RECORDS:MAINTENANCE & RECORDS

5

53.01-1-N

MAINTENANCE & RECORDS:MAINTENANCE & RECORDS - ADEQUATE VEHICLE MAINT. WITH SUFFICIENT RECORDS/REPORTS AT TIME OF INSPECTION

19

53.03-1-N

MAINTENANCE & RECORDS:PMI EXCEEDED SINCE LAST NYSDOT INSPECTION

2

99.02-1-N

OTHER NON OOS DEFECT NOT LISTED OR REGULATION NON COMPLIANCE:

Records Not Presented

PMI Exceeded

PM Records Incomplete

DVIRs Not Presented

6

0

2

0

0

\*\*\*\*\* PROFILE PERIOD: INSPECTION PERIOD:  
 \* OPERATOR # \* 2015-04-01 THRU 2016-03-31 2015-05-06 THRU 2016-03-11  
 \* 51548 \*  
 \* OOS 0.0 % \* REGION : 10 TYPE(S) OF SERVICE: 6  
 \* PM % \*  
 \*\*\*\*\*

XXXXX Bus Inc  
 123 Main St  
 Smithtown

NY 11716-

INSP. SUMMARY	TOTAL	PASS	PCT
REGULAR (TYPE 1)	1	1	100.0 %
REINSPECT (TYPE 2)	0	0	0.0 %
INITIAL (TYPE 9)	0	0	0.0 %
CRIT ITEM (TYPE 0)	0	0	0.0 %
TOTAL OF TYPES 0,1,2,9	1		
		PCT OF TOTAL	0,1,2,9
ACCIDENT (TYPE 3)	0		0.0 %
TEMP. OOS (TYPE 4)	1		100.0 %
PERM. OOS (TYPE 5)	6		600.0 %
FLEET (TYPE 6)	0		0.0 %
NON-PRESENT (TYPE 7)	3		300.0 %
OTHER (TYPE 8)	0		0.0 %
TOTAL OF TYPES 3-8	10		

B+C PRIVILEGE CODE = NOT RATED

\*\*\*\*\*  
 RESULTS OF REGULAR INSPECTIONS  
 \*\*\*\*\*

REGULAR INSPECTION DATA	TOT	PCT
TOTAL INSP:	1	
TOTAL INSP PASSED:	1	100.0 %
TOTAL INSP W/"A" DEFECT:	0	0.0 %
TOTAL INSP W/"B" DEFECT:	0	0.0 %
TOTAL INSP W/"C" DEFECT:	0	0.0 %
TOTAL INSP W/A, B, OR C:	0	0.0 %
TOTAL INSP W/NO DEFECTS:	1	100.0 %
TOTAL INSP OOS W/A DEFECT:	0	0.0 %
TOTAL INSP OOS:	0	0.0 %

DEFECT DATA	TOTAL
TOTAL DEFECTS:	0
TOTAL "A" DEFECTS:	0
TOTAL "B" DEFECTS:	0
TOTAL "C" DEFECTS:	0
TOTAL "OTHER" DEFECTS:	0
TOTAL HWY OPN PROHIBITED:	0
AVERAGE DEFECTS/INSP:	0.0
AVERAGE "A" DEFECTS/INSP:	0.0
AVERAGE "B" DEFECTS/INSP:	0.0
AVERAGE "C" DEFECTS/INSP:	0.0

DEFECT SUMMARY - REGULAR INSPECTIONS

ITEM	DESCRIPTION	TOTAL
	"A" DEFECTS:	